The IPC, Privacy and Education in the Digital Age

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Information and Privacy Commissioner of Ontario



Our Office

- Information and Privacy Commissioner (IPC) provides
 independent review of government decisions and practices on
 access and privacy
- Commissioner appointed by, reports to the Legislative Assembly, to ensure impartiality

What We Do

- Provide an independent review of provincial and municipal government and public sector decisions and practices concerning access and privacy
- Oversee compliance with provincial and municipal access and privacy legislation
- Conduct research and deliver education and guidance on access and privacy issues



- Freedom of Information and Protection of Privacy Act (FIPPA)
- Municipal Freedom of Information and Protection of Privacy Act (MFIPPA)
- Personal Health Information Protection Act (PHIPA)
- Expanded Mandate:
 - Child Youth and Family Services Act
 - Anti-Racism Act

MFIPPA

The purposes of *MFIPPA* are:

- To provide a right of access to information under the control of institutions in accordance with the principles that
 - information should be available to the public
 - access exemptions should be limited and specific
 - access decisions should be reviewed independently of government
- To protect the privacy of individuals with respect to personal information about themselves held by institutions and to provide individuals with a right of access to that information



Institutions

- MFIPPA applies to "institutions" regarding the general records and records of personal information in their custody and control
- Institutions under MFIPPA include school boards
- School boards remain responsible for the information practices of their educators and third party service providers

Access Basics

Access Rights

- MFIPPA gives every person a right to access a record or part of a record in the custody or under the control of an institution unless:
 - contents fall within exemptions
 - the request is frivolous or vexatious
 - the record is specifically excluded or
 - another act overrides the legislation
- Right of access applies to **records** which is broadly defined to include:
 - correspondence, working notes (notebooks), photos,
 - expense accounts, videos, e-mails, appointment books and schedules,
 - draft documents, voicemails and texts



Exemptions: Limited and Specific

There are two separate categories of exemptions under Ontario's access laws:

- mandatory exemption Head of an institution must withhold the record
- discretionary exemption Head of an institution may choose to withhold the records

Discretionary Exemptions

- Record of closed meetings (MFIPPA only)
- Advice or recommendations
- Law enforcement
- Economic and other interests
- Solicitor-client privilege
- Danger to safety or health
- Species at risk (FIPPA only)
- Information soon to be published

Mandatory Exemptions

- Relations with other governments
- Cabinet records (FIPPA only)
- Third-party information
- Someone else's personal information

Access Requests

- Requests can be made by anyone, for any reason no obligations on the requester to provide a reason for making the request
- Once an access request is received all responsive records must be retained – they cannot be altered, deleted or shredded
- Requesters who are not satisfied with the response they receive from the institution have a right to file an appeal with our office

Privacy Basics

Fair Information Practices

- Accountability
- Identifying Purposes
- Consent
- Limiting Collection
- Limiting Use, Disclosure,
 Retention

- Accuracy
- Safeguards
- Openness
- Individual Access
- Challenging Compliance

Privacy

- MFIPPA protects the **privacy** of individuals concerning their personal information, provides them with the **right to access** that information
- It includes rules for the **collection**, **use**, **disclosure** of personal information
- To qualify as **personal information** it must be reasonable to expect that an individual may be **identified** if the information is disclosed

Examples of Personal Information

- Race, national or ethnic origin, religion, age, sexual orientation or martial or family status
- Education or medical, criminal, employment history
- Identifying number, symbol or other particular assigned to the individual
- Address, phone number, fingerprints, blood type
- Individual's name, where it appears with other information about the individual

MFIPPA Privacy Rules

- Head is accountable for access decisions, privacy protection and annual reporting
- Individual has a right to know the principal purposes of CUD
- CUD limited by rules prohibiting CUD unless exceptions apply
- PI collected for one purpose can be used and disclosed for that purpose or a **consistent purpose**
- Cannot use PI unless it is accurate and up-to-date

MFIPPA Privacy Rules

- Requirement to ensure that PI is safeguarded against unauthorized uses and disclosures
- Requirement to be open by providing notice and maintaining
 PI bank
- Individuals have a right of access and correction to their own PI
- Individuals can challenge compliance by filing a complaint and under M/FIPPA Commissioner has the authority to order institution to:
 - cease a collection practice and destroy collections of PI
- Commissioner can also make **recommendations** regarding the privacy implications of a legislative scheme or program

Privacy Breach

- A privacy breach occurs when personal information is collected, retained, and used or disclosed in ways that are not in accordance with MFIPPA
- Among the most common breaches of personal privacy are:
 - o sending communications to the wrong recipient due to human error
 - o improper records destruction procedures
 - o loss or theft of unsecured electronic devices, such as laptop computers, digital cameras, or portable storage devices (USB sticks)
 - o unauthorized access (snooping, hacking)

IPC Privacy Investigations

The IPC may:

- receive privacy complaints from the public or investigate on its own accord
- investigate privacy complaints and report publicly on them
- order the institution to cease and destroy a collection of personal information
- make recommendations to safeguard privacy



Reducing Risk of Privacy Breaches Best Practices

Administrative	Technical	Physical
 privacy and security policies auditing compliance with rules privacy and security training data minimization confidentiality agreements Privacy Impact Assessments 	 strong authentication and access controls detailed logging, auditing, monitoring strong passwords, encryption patch and change management firewalls, anti-virus, anti-spam, anti-spyware protection against malicious code Threat Risk Assessments, ethical hacks 	 controlled access to premises controlled access to locations within premises where PI is stored access cards and keys ID, screening, supervision of visitors NOTE – when determining appropriate safeguards consider sensitivity and amount of information number and nature of people with access to the information threats and risks associated with the information

Privacy – An Absolute?

Yes, You Can.

- Some professionals do not report suspicions about a child at risk of harm to a children's aid society (CAS) on the unfounded belief that "privacy" prevents them from doing so
- The Ontario Child Advocate and the Information and Privacy Commissioner of Ontario have developed a resource to clarify some common misunderstandings about privacy and the duty to report
- If a person has **reasonable** grounds to suspect that a child is in need of protection, the person **must** immediately report the suspicion and the information on which it is based to a CAS
- The duty applies to any person, including a person who performs professional or official duties with respect to children

Yes, you can share information with a Children's Aid Society to protect a child.

Find out more at www.ipc.on.ca

YES,

YOU

CAN.

DISPELLING THE MYTHS ABOUT SHARING INFORMATION WITH CHILDREN'S AID SOCIETIES.



Provincial Advocate for Children & You

The Philadelphia Model

- Annual meeting of advocates and representatives from the Women's Law Project who search though police sexual assault files alongside high-ranking officers to look for deficiencies and biases
- Since it was implemented 17 years ago, the "unfounded rape" rate has dropped to four per cent, in contrast with the national average of seven per cent



Globe and Mail Series: "Unfounded"

Working with Police on an Ontario-based Philadelphia Model

- Identify external partners with the experience to assist with the review of sexual assault files and appoint them agents
- Ensure external reviewers have been subject to a background check, signed an oath of confidentiality and received privacy and confidentiality training
- Require external reviewers to see names of principals so they can recuse themselves if needed
- Permit external reviewers to review complete closed files, subject only to redactions or restrictions required by law
- Ensure reviews take place at police facilities and no identifying information is copied, retained or removed by agents



MOU For Use By Ontario Police

- IPC worked with police to develop a model Memorandum of Understanding and Confidentiality Agreement
- Used to set the terms for the review of sexual assault cases by police and external reviewers
- Kingston Police are the first to put into practice

MEMORANDUM OF UNDERSTANDING respecting the External Sexual Assault Case Review Program made this 1st day of November, 2017 (the "Effective Date").

BETWEEN:

SEXUAL ASSAULT CENTRE KINGSTON (Hereinafter referred to as "SACK")

PAMELA CROSS, BA, LLB (Hereinafter referred to as "Pamela Cross")

OTTAWA RAPE CRISIS CENTRE (Hereinafter referred to as "ORCC")

COLLECTIVELY REFERRED TO AS THE "KINGSTON VAW ADVOCACY GROUPS"

KINGSTON POLICE (Hereinafter referred to as "Kingston Police")

COLLECTIVELY REFERRED TO AS THE "PARTIES"

WHEREAS the Kingston Police as a municipal police service are governed by the Police Services Act, R.S.O. 1990, c. P. 15 (PSA) and the Municipal Freedom of Information and Protection of Privacy Act, R.S.O. 1990, c M. 56 (MFIPPA);

WHEREAS, under section 1 of the PSA, police services shall be provided in accordance with principles, including the need for co-operation between the providers of police services and the communities they serve; the importance of respect for victims of crime and understanding of their needs; the need for sensitivity to the pluralistic, multiracial and multicultural character of Ontario society; and the need to ensure that police forces are representative of the communities they serve:

WHEREAS, under section 4(2) of the PSA, core police services include crime prevention, law enforcement, and providing assistance to victims of crime;

WHEREAS, under section 41(1) of the PSA, the duties of the Chief of the Kingston Police include ensuring that the Kingston Police provide community-oriented police services and that its members carry out their duties in a manner that reflects the needs of the community;

WHEREAS the duties and functions of the Kingston Police include investigating reports of sexual assault and supervising and monitoring those investigations, including for the purpose of identifying deficiencies, errors and anomalies in and improving the efficiency of individual sexual assault investigations and the sexual assault investigative process as a whole

Access and Privacy in the Education Sector

Access and Privacy Education at the IPC

What Students Need to Know about Freedom of Information and Protection of Privacy



A Study Guide for Elementary Schools Grade 5 Teacher's Guide September 2005

Educational Resources for Youth

- IPC worked with frontline teachers
- Created tools / resources on access & privacy issues for use in teaching plans
- Based on Ontario Ministry of Education curriculum policy
- Three study guides produced for grades 5, 10 and 11/12
- School boards distributed the guides to teachers

Access and Privacy Education at the IPC

Educational Resources: Approach Taken

- Separate materials developed based on age group
- Variety of learning tools, including:
 - Powerpoint presentations
 - Online research activities:
 - "webquests"
 - Quizzes
 - Quick reference infographics
 - Group discussion aids
 - Case studies
 - Privacy in the news



International Partnerships

International Resolution on Privacy Education

- IPC is a signatory to the 2016 Resolution for the Adoption of an International Competency Framework on Privacy Education
- To meet our commitment to digital education promotion, IPC consulted with the Ministry of Education
- We were pleased to find that all elements of the International Competency Framework on Privacy Education have been integrated into the existing curriculum for Ontario's students
- In addition, specific requirements for privacy and digital education are present in numerous courses and at all grade levels

ICDPPC Resolution: https://icdppc.org/wp-content/uploads/2015/02/Resolution-2016-on-privacy-education.pdf

National Partnerships

FPT Working Group on Youth Education

- Ontario is working with IPCs across the country to develop lesson plans for youth on a variety of privacy matters, including:
 - digital economy and personal information
 - permanence of online information
 - rights and responsibilities for online privacy
 - understanding online privacy policies / terms and conditions

National Partnerships

FPT WG Joint Letter to Council of Education Ministers (Nov 2017)

- Annual Media Literacy Week, which takes place every November, highlights the importance of teaching children and teens digital and media literacy skills
- Open letter calls for privacy education to become a "clear and concrete component in digital literacy curricula across the country"
- Letter notes that:
 - online risks are growing (cyberbullying, sexting and child luring, tracking, hacking and email scams)
 - personal information has become a hot commodity as businesses seek to monetize our data
 - everyone, regardless of age, must weigh benefits and risks of each product and service they use, each time they use it

The Canadian Teachers' Federation and the FPT

- A collaboration between Privacy Commissioners across Canada and the Canadian Teachers' Federation to raise awareness among youth about the importance of privacy protection
- The poster can also be used as a tool to support education about privacy in the online world



Online Educational Tools and Services

- Growing use in Ontario classrooms
- Often used without knowledge or approval of school boards
- Under MFIPPA, school boards are responsible for information management practices of educators and service providers



Online Educational Tools and Services

Privacy and Access Risks:

Improper Collection

- personal information of students, parents
- online activities, interactions with others

Unauthorized Use

 performance evaluations, learning profiles which may be used for marketing purposes

Unauthorized Disclosure

 sale of personal information to third parties for marketing purposes

Online Educational Services

What Educators Need to Know





Online Educational Tools and Services

Potential Consequences:

- breaches
- complaints
- IPC investigations
- public reports
- notification requirements
- disciplinary procedures
- reputational impacts
- financial impacts

Online Educational Services

What Educators Need to Know







Global Privacy Enforcement Network

2017 GPEN "Sweep"

- GPEN established to foster cooperation among privacy regulators
- Annual GPEN "Sweep" is a coordinated review of the privacy risks of websites and mobile applications
- 2017 Sweep theme: "User Control over Personal Information"
- IPC collaborated with Office of Privacy Commissioner of Canada to review free online educational services in use across Ontario
- Goal to identify potential areas of concern to guide future awareness and outreach efforts

2017 GPEN Report

Lessons Learned:

- Privacy policies and terms of service were often lengthy, challenging to understand
- Collection and disclosure of student personal information could occur via mobile apps, social login, and browser tracking cookies
- Two-thirds of online services did not have an clear policy on deleting dormant or inactive accounts

2017 GPEN Sweep Report Online Educational Services



2017 GPEN Report Recommendations

Best Privacy Protection Practices for School Boards and Educators:

- Consult before selecting online services
- Understand privacy policies and terms of service
- Minimize identifiability of students
- Seek involvement of parents & guardians
- Provide guidance to students on appropriate uses

Questions?

HOW TO CONTACT US

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